

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



kt No. S2-US3

Attachment to Paper 10

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 1 of 2

In the Application of G.N. Cox, III, *et al.*

Serial No.: 09/706,243

Art Unit: 1631

Filed: November 3, 2000

Examiner: J. Brusca

Title: REGULATION OF ENDOGENOUS GENE EXPRESSION IN CELLS USING ZINC FINGER PROTEINS

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
<i>JB</i>	GI	✓ GILLEMANS <i>et al.</i> (1998) "Altered DNA binding specificity mutants of EKLF and SP-1 show that EKLF is an activator of the $\beta$ -globin locus control region <i>in vivo</i> ." <i>Genes &amp; Development</i> 12:2863-2873.
<i>JB</i>	GJ	✓ THIESEN (1996) "From repression domains to designer zinc finger proteins: A novel strategy for intracellular immunization against HIV." <i>Gene Expression</i> 5:229-243.
<i>JB</i>	GK	✓ IMHOF <i>et al.</i> (1999) "Transcriptional regulation of the AP-2 $\alpha$ promoter by BTEB-1 and AP-2rep, a novel wt-1/egr-related zinc finger repressor." <i>Molecular &amp; Cellular Biology</i> 19:194-204.

Examiner:

*J. Brusca*

Date Considered:

*7/20/02*

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



Book No. S2-US3

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 2 of 2

In the Application of G.N. Cox, III, *et al.*

Serial No.: 09/706,243

Art Unit: 1631

Filed: November 3, 2000

Examiner: J. Brusca

Title: REGULATION OF ENDOGENOUS GENE EXPRESSION IN CELLS USING ZINC FINGER PROTEINS

	GL	<sup>1</sup> LIU <i>et al.</i> (2001) "Regulation of an endogenous locus using a panel of designed zinc finger proteins targeted to accessible chromatin regions." <i>Journal of Biological Chemistry</i> <b>276</b> :11,323-11,334.
	GM	<sup>2</sup> LIU <i>et al.</i> (1996) "Transcription factor EGR-1 suppresses the growth and transformation of human HT-1080 fibrosarcoma cells by induction of transforming growth factor $\beta$ 1." <i>Proceedings of the National Academy of Sciences of the USA</i> <b>93</b> :11,831-11,836.
	GN	<sup>3</sup> SPENGLER <i>et al.</i> (1997) "Regulation of apoptosis and cell cycle arrest by Zac1, a novel zinc finger protein expressed in the pituitary gland and the brain." <i>EMBO Journal</i> <b>16</b> :2814-2825.
	GO	<sup>4</sup> ZHANG <i>et al.</i> (2000) "Synthetic zinc finger transcription factor action at an endogenous chromosomal site." <i>Journal of Biological Chemistry</i> <b>275</b> :33,850-33,860.

Examiner:

Date Considered:

7/20/02

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.